

Survey Data for “Stylized Facts on the Quality of Banking Regulation in Latin America and the Caribbean”

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Data: Banking Survey 2023 – Consolidated Results

This codebook accompanies the dataset from the Banking Survey 2023, which is available at this [link](#). The dataset consolidates responses from central banks and banking supervisory authorities from 18 countries in Latin America and the Caribbean. The main objective of the survey was to examine the adoption of Basel III standards across Latin American and Caribbean nations, focusing on capital and liquidity regulations, supervisory agency autonomy, and asset classification practices.

The purpose of this codebook is to offer a guide to the "Banking Survey 2023 – Consolidated Results" dataset, facilitating its use for analysis and comparison.

Columns description:

- a. Question Code: Each question in the survey is identified with an alphanumeric code, such as "q1.1" or "q1.1.a" which outlines both the sequence and the relational hierarchy of the question. The initial digit in the code corresponds to the specific topic category the question pertains to.
- b. Question: This column contains the questions sent to respondents. The survey covers nine topics:
 1. Application of regulation and supervision
 2. Concentration
 3. Capital requirements
 4. Liquidity requirements
 5. Financial investment classification
 6. Other prudential requirements
 7. On supervision
 8. On stress tests
 9. Other
- c. Country-specific columns (for example, Argentina, The Bahamas, Belize, etc.): Each column captures the responses provided by the central bank or financial regulatory entity for that specific country.

Response types:

- a. Binary responses: For certain questions, regulators were asked to respond "Yes" or "No," indicating the presence or absence of specific regulatory practices or conditions.
- b. Textual explanations: Some questions were open-ended, and many others prompted an explanatory follow-up to understand the details of the applications or regulatory exceptions. These explanations offer qualitative insights into the regulatory framework and practices within each country.

Participating countries:

The survey data includes responses from the following 18 countries, representing a broad spectrum of financial regulation in the Latin America and Caribbean region:

Argentina, The Bahamas, Belize, Brazil, Chile, Colombia, Costa Rica, Dominican Republic, Ecuador, Honduras, Jamaica, Mexico, Panama, Paraguay, Peru, El Salvador, Trinidad and Tobago, and Uruguay.

Data collection:

The survey was disseminated electronically to representatives of the respective central banks and supervisory authorities in 2023. Collected data were consolidated into the final dataset represented in the "Banking Survey 2023 – Consolidated Results" file. For comprehension purposes, the answers originally in Spanish were translated into English.